

# Situational Crime Prevention in Correctional Facilities

Richard Wortley

School of Criminology and Criminal Justice

Griffith University

Brisbane

Australia

# Overview

- What is situational prevention?
- Situational analysis of prison disorder
- What works? Examining the literature
- A general model of situational prevention in prison
- Case Study: HM Prison Glen Parva
- Conclusions

# What is situational prevention?

- ◆ All behaviour is a result of person *and* situation
- ◆ The potential to commit crime is widely distributed in the community
- ◆ A great deal of crime is opportunistic
- ◆ Even planned crime is governed by situational factors
- ◆ Crime is not a random event
- ◆ We can't change offenders but we can change situations
- ◆ We can use an understanding of crime patterns to *detect* and *prevent* crime

# Situational Analysis of Prison Disorder

- Traditional focus on:
  - Dangerous prisoners – person-centred
  - Dangerous institutions – macro approach
  - Tightening-up – target hardening
  - Responding to crises – reactive
- Situational focus on:
  - Disorder events – behaviour-specific
  - Specific dynamics – micro-analysis
  - Causes – not just target hardening
  - Problem-solving approach – what, where, when, why?

## ■ What?

### – breaking down 'disorder'

- assaults
- rape
- self harm
- escapes
- drug use
- collective disorder
- vandalism
- theft

### – further breakdowns

- assaults against prisoners vs against staff
- assaults with weapons vs without weapons

## ■ Where?

- Areas of poor surveillance
  - shared cells (assaults)
  - single cells (self-harm)
  - showers (sexual assault)
- Areas where prisoners congregate/unstructured activity
  - recreation areas (assaults)
  - dining rooms (assaults, riots)
  - queues (assaults)
- Not in areas of supervised activity
  - classrooms
  - workshops

## ■ When?

### – time of day

- change of shift (assaults)
- prisoner movements (staff assaults, riots)
- night (self-harm)

### – day of week

- weekends (drug use, self-harm)

### – time of year

- summer (assaults)
- winter (escapes)

## ■ Why?

### – escapes

- bad news from home
- fear

### – assaults

- boredom
- disputes over resources (eg television)
- theft (eg phone cards)

### – vandalism

- lack of environmental control

### – rioting

- grievances

# What Works?

## Examining the Literature

- Few specific situational studies
- Many 'quasi-situational'

- Prisoner-prisoner assaults
  - single cells
  - partitioned dormitories
  - lockable cupboards
  - unit management
  - air conditioning
  - reduced crowding
  - reduced turnover
  - age-heterogeneity
  - educational/work programs
  - ratio non-custodial
  - parole provisions

## ■ Prisoner-staff assaults

- unit management
- reduce crowding
- age heterogeneity
- staff experience
- staff training
- female staff
- security crackdowns
- supporting staff authority
- matching staff/inmate racial composition
- education/work programs

## ■ Sexual assaults

- single cells
- unit management
- racial balance
- gender mixed
- conjugal visits/leave
- institutional protocols
- mandatory reporting/prosecution
- policing consensual sexual activity
- teaching avoidance strategies
- segregating vulnerable prisoners

## ■ Self-harm

- double bunking
- eliminating hanging points, dangerous fittings etc
- removing dangerous possessions
- improving view into cells
- surveillance protocols
- de-institutionalising cells
- unit management
- reduce crowding
- age heterogeneity
- reduce prisoner turnover
- education/work programs

## ■ Drug use

- perimeter security
- rapid prisoner turnover
- drug testing prisoners
- searching/testing staff
- tightening visits (no gifts, greater surveillance, ID checks, searching, restrict physical contact etc)
- drug detection technology
- drug dogs
- monitoring prisoner accounts
- substance free zones

## ■ Escapes

- improved perimeter security
- reduce crowding
- graduated security
- structured regime
- publicise risks/punishments
- respond to protection requests
- education/work programs
- pastoral care
- compassionate visits

## ■ Riots

- unit management
- new/well-maintained facilities
- security firebreaks
- small prisons
- reduced crowding
- racial balance
- staff experience
- staff-prisoner relations
- security protocols
- humane prison conditions
- grievance mechanisms

# A General Model of Situational Prevention in Prison

- 'Precipitators' versus 'opportunities'
- Tightening-up versus Loosening-off
  - 'Hard' and 'soft' control

## Precipitation Control

<b>Controlling Prompts</b>	<b>Controlling Pressures</b>	<b>Reducing Permissibility</b>	<b>Reducing Provocations</b>
Controlling triggers <ul style="list-style-type: none"> <li>• Weapons effect</li> </ul>	Reducing inappropriate conformity <ul style="list-style-type: none"> <li>• Dispersing gangs</li> </ul>	Rule setting <ul style="list-style-type: none"> <li>• Unit inductions</li> </ul>	Reducing frustration <ul style="list-style-type: none"> <li>• Personal controls</li> </ul>
Providing reminders <ul style="list-style-type: none"> <li>• Warning signs</li> </ul>	Reducing inappropriate obedience <ul style="list-style-type: none"> <li>• Support whistle-blowers</li> </ul>	Clarifying responsibility <ul style="list-style-type: none"> <li>• Ownership of living areas</li> </ul>	Reducing crowding <ul style="list-style-type: none"> <li>• Use of colour etc</li> </ul>
Reducing inappropriate imitation <ul style="list-style-type: none"> <li>• Guards as exemplars</li> </ul>	Encouraging compliance <ul style="list-style-type: none"> <li>• Participation in rule making</li> </ul>	Clarifying consequences <ul style="list-style-type: none"> <li>• Sense of community</li> </ul>	Respecting territory <ul style="list-style-type: none"> <li>• Privacy rooms</li> </ul>
Setting positive expectations <ul style="list-style-type: none"> <li>• Domestic prison furniture</li> </ul>	Reducing anonymity <ul style="list-style-type: none"> <li>• Smaller prisons</li> </ul>	Personalising victims <ul style="list-style-type: none"> <li>• Humane prisons</li> </ul>	Controlling environmental irritants <ul style="list-style-type: none"> <li>• Air conditioning</li> </ul>

## Opportunity Reduction

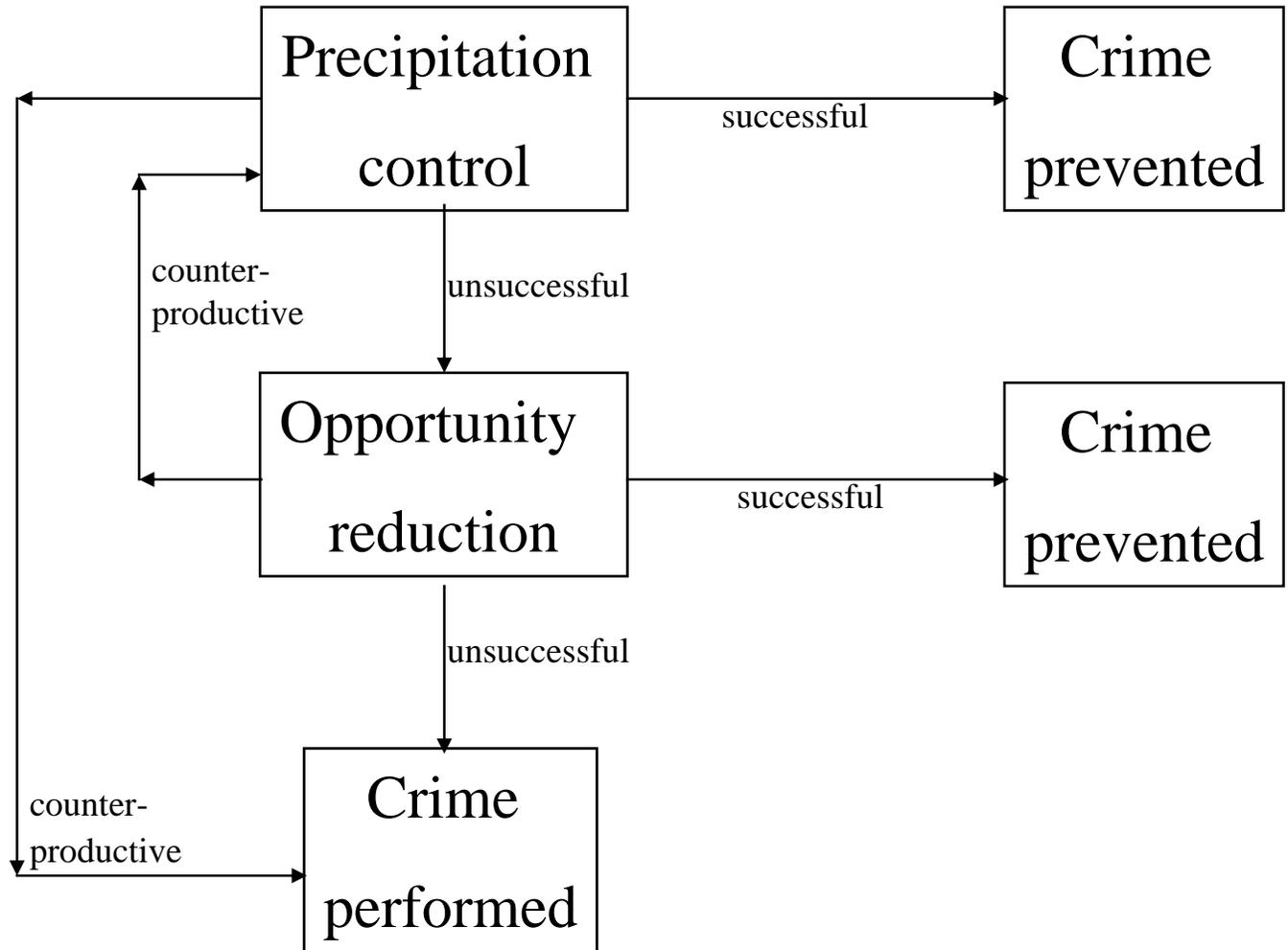
<b>Increasing perceived effort</b>	<b>Increasing perceived risks</b>	<b>Reducing anticipated rewards</b>	<b>Increasing anticipated punishments</b>
Target hardening <ul style="list-style-type: none"> <li>• Vandal-proof furnishings</li> </ul>	Exit-entry screening <ul style="list-style-type: none"> <li>• Screening visitors</li> </ul>	Target removal <ul style="list-style-type: none"> <li>• Restrict personal property</li> </ul>	Increasing costs <ul style="list-style-type: none"> <li>• Non-replacement of vandalised property</li> </ul>
Access control <ul style="list-style-type: none"> <li>• Control gates</li> </ul>	Formal surveillance <ul style="list-style-type: none"> <li>• CCTV</li> </ul>	Identifying property <ul style="list-style-type: none"> <li>• Property marking</li> </ul>	Removing privileges <ul style="list-style-type: none"> <li>• Denial of parole</li> </ul>
Deflecting offenders <ul style="list-style-type: none"> <li>• Staggered release</li> </ul>	Surveillance by employees <ul style="list-style-type: none"> <li>• Civilian staff</li> </ul>	Reducing temptation <ul style="list-style-type: none"> <li>• Single cells</li> </ul>	Increasing social condemnation <ul style="list-style-type: none"> <li>• Unit meetings</li> </ul>
Controlling facilitators <ul style="list-style-type: none"> <li>• Plastic cutlery</li> </ul>	Natural surveillance <ul style="list-style-type: none"> <li>• Double-bunking</li> </ul>	Denying benefits <ul style="list-style-type: none"> <li>• Phone PINs</li> </ul>	Making an example <ul style="list-style-type: none"> <li>• Publicising punishments</li> </ul>

## ■ Counterproductive control

- ‘Hard’ and ‘soft’ approaches often contradictory
- getting balance right - ‘fair but firm’

## ■ A thought experiment

- Design a prison cell that would remove all opportunities for a prisoner to self harm
- Now design a prison cell that would guarantee that a prisoner would want to self harm



- Examples of tensions:
  - Vandal-proof versus domestic furnishing
  - Direct versus indirect supervision
  - Female versus male officers
  - Consensus versus authority

# Case Study:

## HM Prison Glen Parva

- Young Offenders' Institution, Leicester UK
- 13 units, 780 prisoners
- 1997 unannounced visit by Inspector of Prisons
  - High levels of bullying, self harm, etc
  - High levels of use of force by staff
  - 'So dissatisfied' inspections increased
- Problem solving approach by management
  - 3 cases studies

# 1. Noise pollution

## ■ Problem:

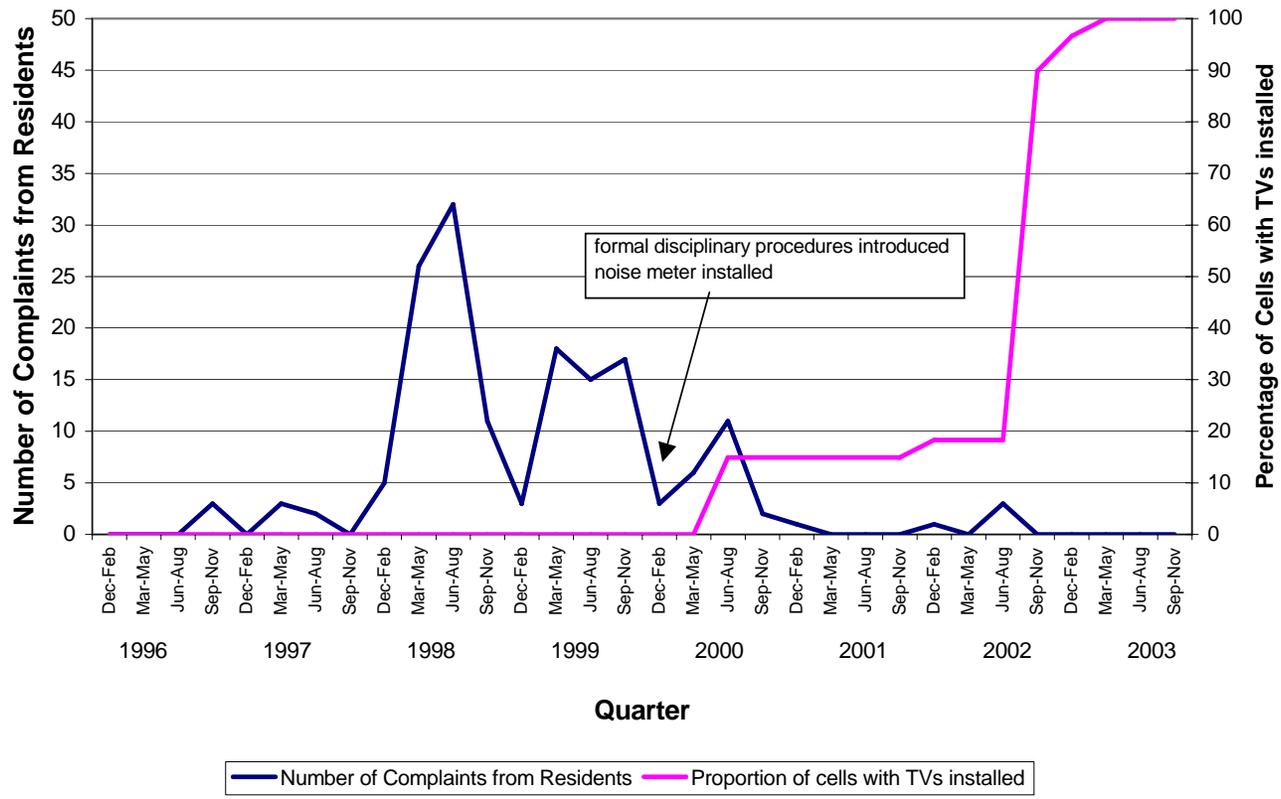
- Prisoners in units near boundary shouting from windows, complaints/fines

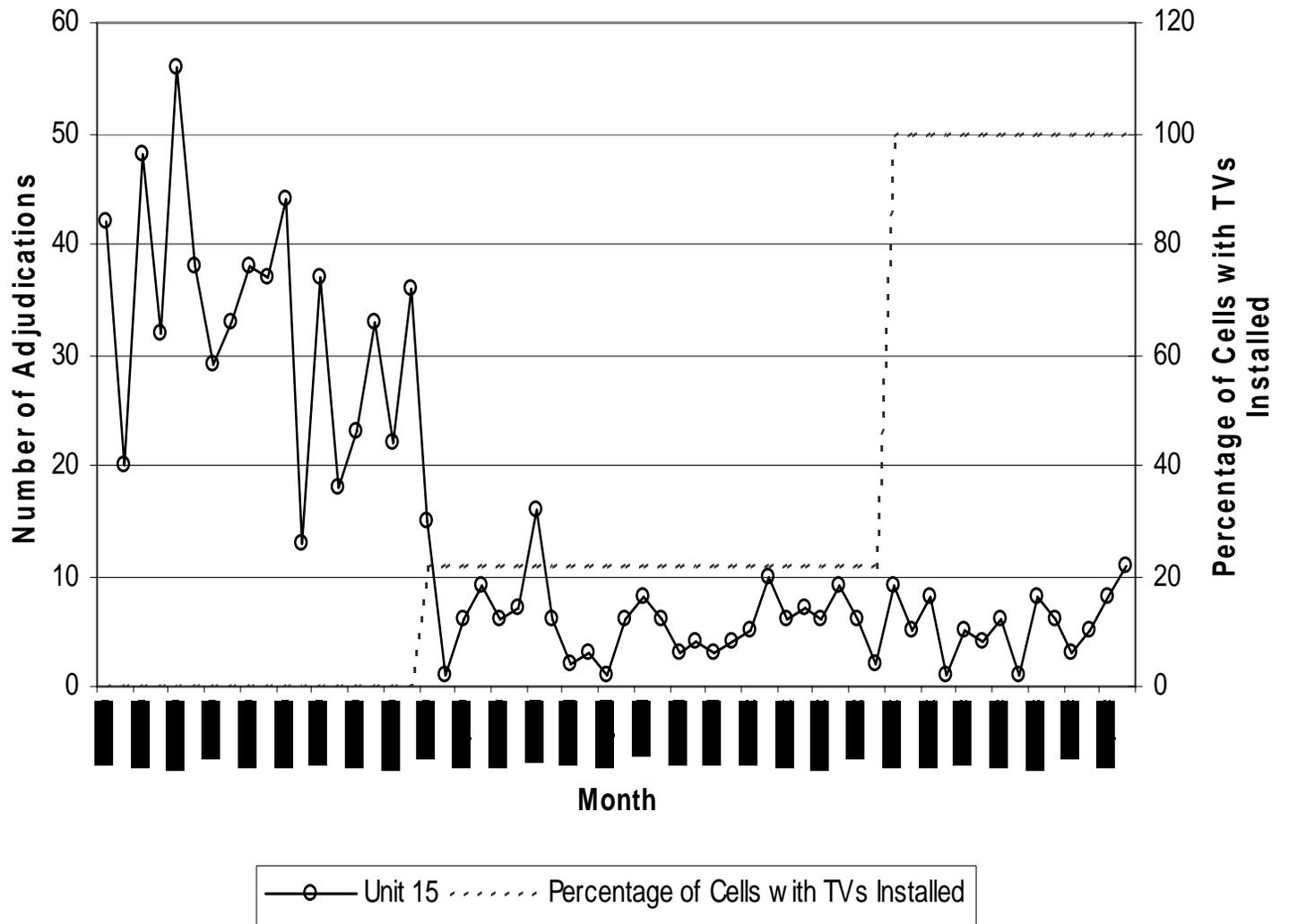
## ■ Intervention:

- Noise monitors on fence line
- In-cell televisions

## ■ Results:

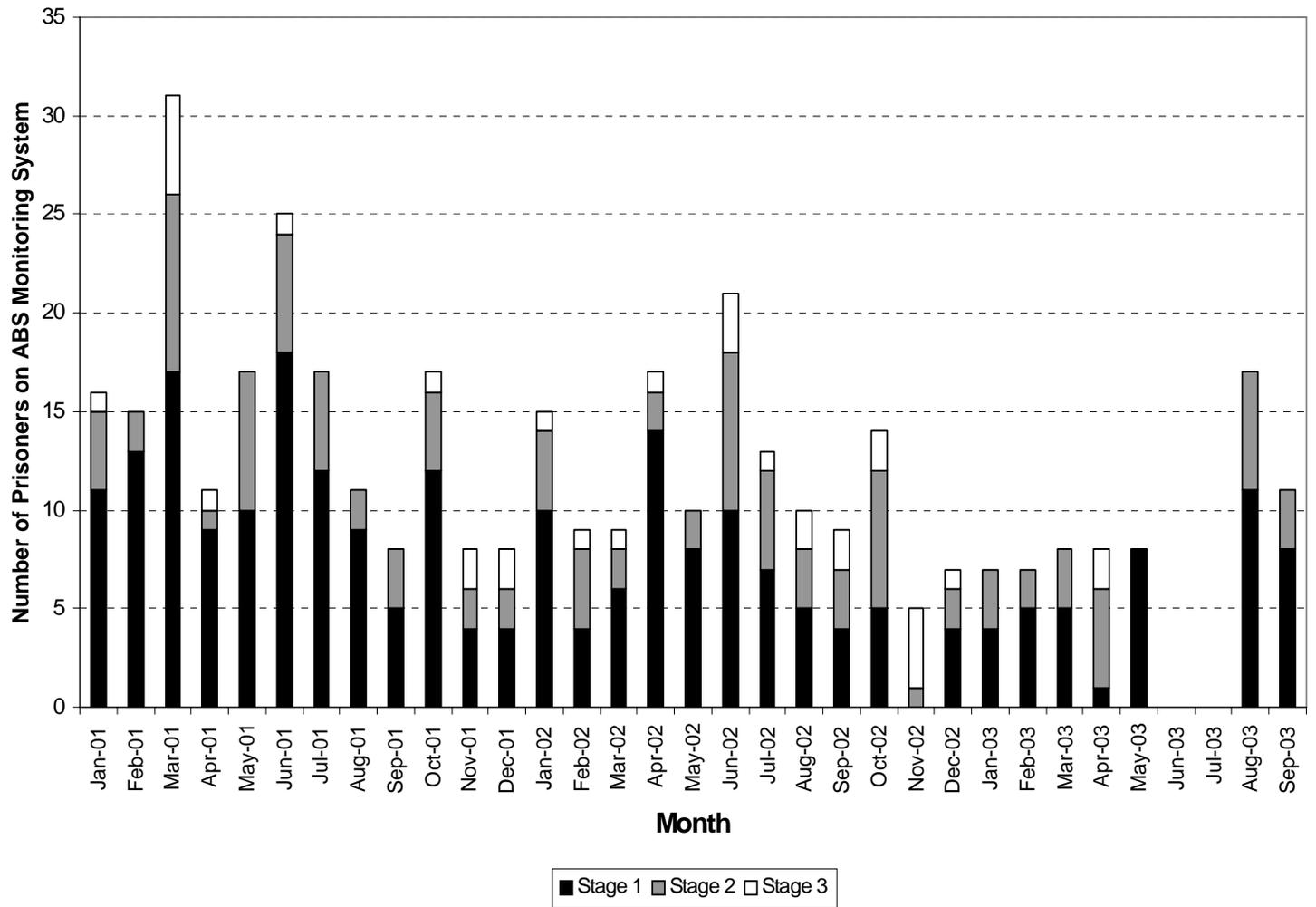
- Complaints stopped
- Reduction in adjudications in target units

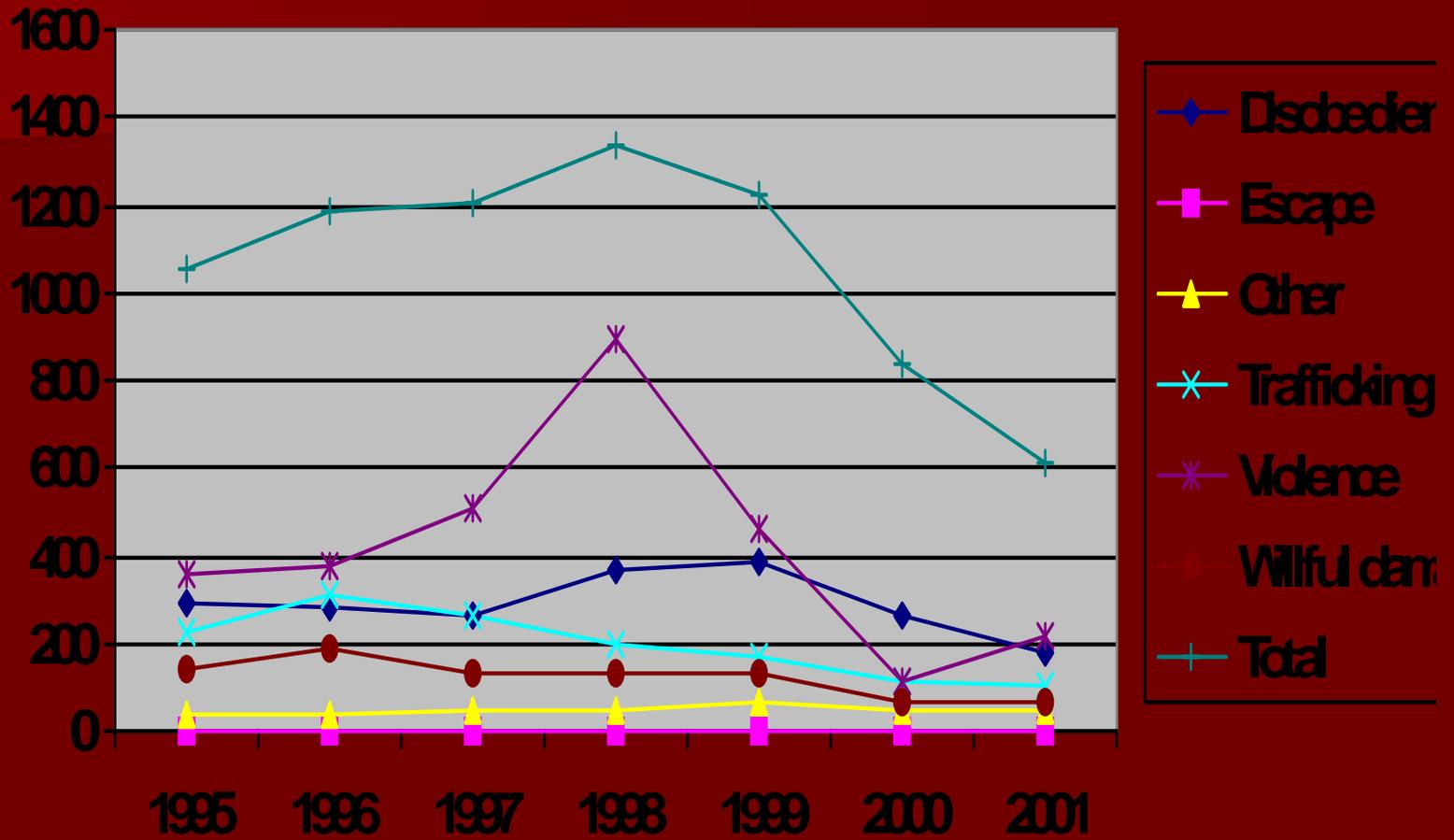


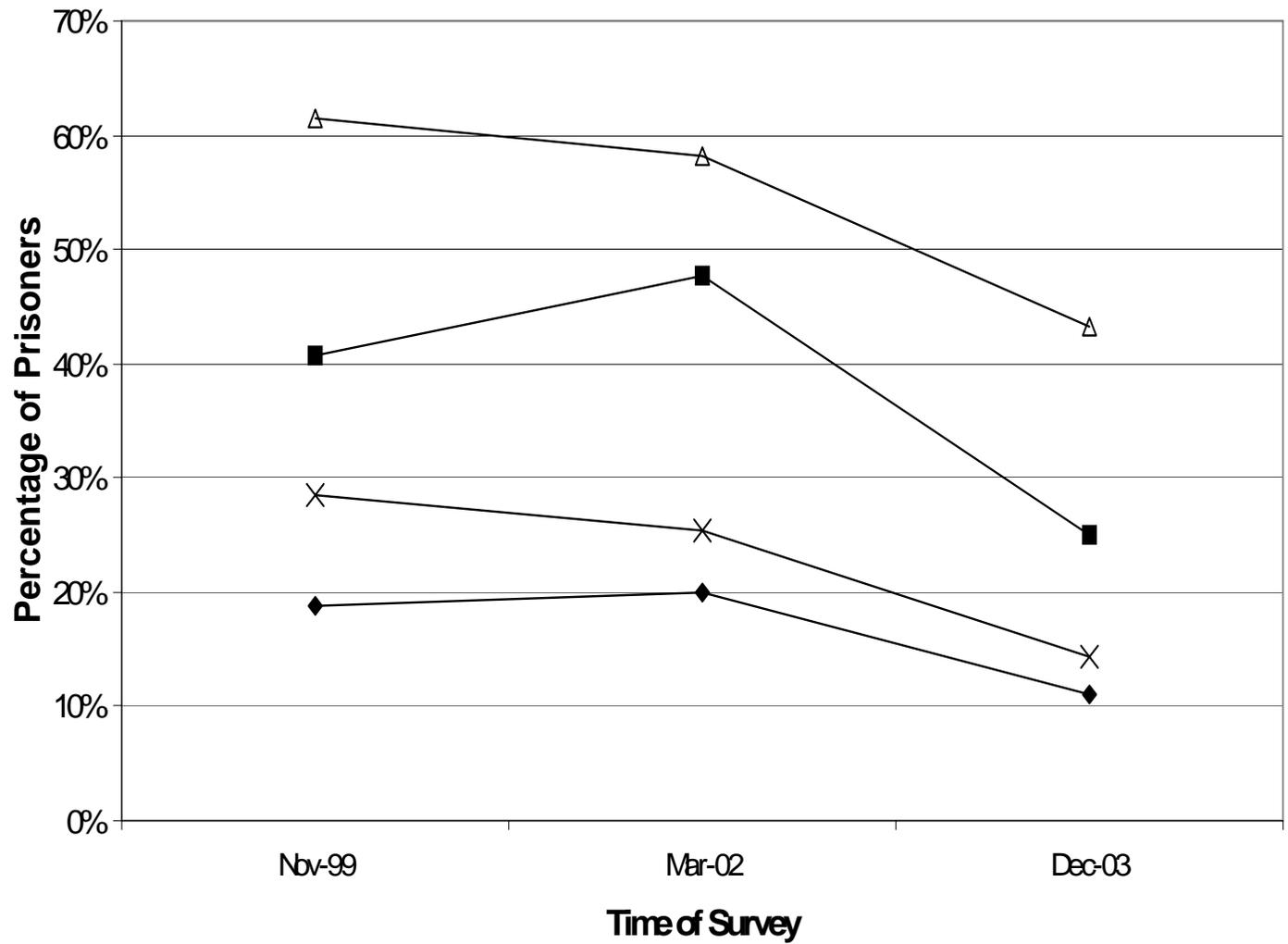


## 2. Bullying

- Problem: high levels of bullying, especially of new arrivals
- Interventions:
  - Anti-bullying strategy
  - 'First night' packs
  - TV remote controls
  - Phone PINs
- Results:
  - Drop in bullying and fear







—△— called names —◆— canteen taken —■— threatened —×— assaulted

### 3. Staff scalding

- Problem:

- Prisoners given can of hot water before going to cells, throw over officer

- Intervention:

- Plastic thermoses

- Results:

- No further incidents

# Conclusions

- Potential for quick, cheap and effective interventions
- Intuitive – do not need complicated theory
- Not a 'cook book' – an approach rather than ready-made solutions

# References

- Wortley, R. (2002). *Situational prison control: Crime prevention in correctional institutions*. Cambridge: Cambridge University Press
- Wortley, R. (2003). Situational crime prevention and prison control. *Crime Prevention Studies*, 16, 97-117
- Wortley, R., & Summers, L. (2005). Reducing prison disorder through situational prevention: The Glen Parva experience. M.J. Smith & N. Tilley (Eds.), *Crime science: New approaches to preventing and detecting crime*. Cullompton, UK: Willan Publishing